

## DECLARATION FOR PATENT APPLICATION

Docket Number PET-001.01

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

## PRIVATE EQUITY INVESTMENTS

the specification of which (check one)

☐ is attached hereto.☒ was filed on Date 11/14/01 as United States Application Number or PCT International Application Number 09/990.893, and was amended on Date (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information, which is material to patentability as defined in Title 37, Code of Federal Regulation, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Claimed

☐ Yes ☐ No☐ Yes ☐ No

(Number) (Country) (Day/Month/Year Filed)

(Number) (Country) (Day/Month/Year Filed)

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States Provisional application(s) listed below.

60/248,272 11/14/00  
(Application Number) (Filing Date)

(Application Number) (Filing Date)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor (given name, family name): Paul A. Gompers

Inventor's signature: Paul A. Gompers

Residence: 156 Mason Terrace, Brookline, MA 02446

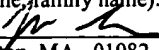
Post Office Address: as above

Date: 12/24/01

Citizenship: U.S.

☒ Additional inventors are being named separately numbered sheets attached hereto.

Full name of second joint inventor (given name, family name): Joshua Lerner

Inventor's signature: 

Date: 12/24/01

Residence: 108 Moulton Street, South Hamilton, MA 01982

Citizenship: U.S.

Post Office Address: as above

Full name of third joint inventor (given name, family name): Andrew Metrick

Inventor's signature: \_\_\_\_\_

Date: \_\_\_\_\_

Residence: 604 Schiller Avenue, Merion Station, PA 19066

Citizenship: U.S.

Post Office Address: as above

Full name of fourth joint inventor (given name, family name): Leslie Ann Jeng

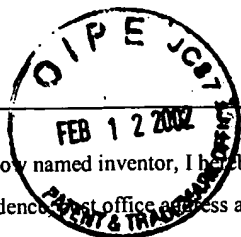
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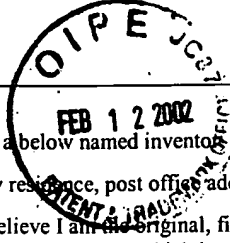
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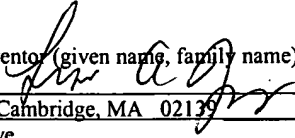
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